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10-02-00 A

TRANSMITTAL OF A PATENT APPLICATION

DOCKET NO. 5055.21

I hereby certify that this transmittal of the below described documents is being deposited with the United States Postal Service in an envelope bearing Express Mail postage and an Express Mail Label, with the below serial number, addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below date of deposit:

| | | | |
|------------------------|---------------|--|----------------------------|
| Express Mail Label No: | EL566556225US | Name of Person Making the Deposit: | Gloria Gruetzmacher |
| Date of Deposit: | 9/29/00 | Signature of the Person Making the Deposit | <i>Gloria Gruetzmacher</i> |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S): Tod M. Grimm

TITLE: DUAL MANIFOLD MOLD WITH ROTATING CENTER PLATEThe Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

TRANSMITTAL OF A PATENT APPLICATION
(UNDER 37 CFR § 1.53)

Transmitted herewith is the above identified patent application including:

- Specification, claims and abstract, totaling 23 pages.
- Formal drawings, totaling 8 pages.
- Informal drawings, totaling _____ pages.
- Information Disclosure Statement.
- Declaration and Power of Attorney.
- Assignment(s) to Tech Mold, Inc.
- A verified statement to establish Small Entity Status under 37 CFR 1.9 and 37 CFR 1.27.
- Other: _____

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| FEE CALCULATION (for a small entity) | | | | | |
|---|--------------------|-----------------------------|---------------------|---------------------|--------------|
| Fee Items Total Claims | Claims Filed 16 | Included w/basic fee +20 | Extra Claims -0- | Fee Rate x \$09. | Total -0- |
| Independent Claims | 1 | +03 | -0- | x \$39. | -0- |
| Mult. Dependent Claim Fee (one or more) | | | | x \$130. | |
| Assignment Recording Fee | | | | \$40 | 40. |
| Basic Filing Fee | | | | \$345. | 345. |
| TOTAL FEES | | | | | 385. |

A check in the amount of \$ 385. to cover the filing fee and assignment is enclosed.

Date: 9/29/00
 Law Offices of LaValle D. Ptak
 4420 N. Saddlebag Trail, #102
 Scottsdale, Arizona 85251
 (602) 994-1003

LaValle D. Ptak
 Registration No. 19,877

#2
Attorney's Docket No. 5055.21

PATENT

Applicant or Patentee: Tod M. Grimm

Application or Patent No.: /

Filed or Issued: DUAL MANIFOLD MOLD WITH ROTATING CENTER PLATE
For:

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b))—INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor, as defined in 37 CFR 1.9(c), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled DUAL MANIFOLD MOLD WITH ROTATING CENTER PLATE

described in

- the specification filed herewith.
 application no. /, filed .
 patent no. , issued .

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c), if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- no such person, concern, or organization.
 persons, concerns or organizations listed below *

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention according to their status as small entities. (37 CFR 1.27)

FULL NAME Tech Mold, Inc.

ADDRESS 1735 W. 10th St., Tempe, Arizona 85281

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

FULL NAME _____

ADDRESS _____

INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Tod M. Grimm

Name of inventor



Date 9/21/00

Signature of Inventor

Name of inventor

Date _____

Signature of Inventor

Name of inventor

Date _____

Signature of Inventor